

VISIBLE-NIR INFRARED SPECTROSCOPY FOR POMEGRANATE FRUIT QUALITY ASSESSMENT: CHEMOMETRICS AND COMMON PREPROCESSING METHODS

Rasool Khodabakhshian¹, Bagher Emadi^{1*}, Mehdi Khojastehpour¹, Mahmood Reza Golzarian¹

¹Department of Biosystem Engineering, Ferdowsi University of Mashhad,

P.O. Box 91775-1163, Mashhad, Iran

* E-mail: bagher_emadi@yahoo.com

Abstract

In this study the feasibility of using VIS/NIR spectroscopy along with chemometrics was investigated to predict quality parameters (pH, TSS and firmness) of pomegranate fruit in a nondestructive manner. The effects of different pre-processing methods and spectra treatments, such a column pretreatment (mean centering) and rows pretreatments (including normalization (multiplicative scatter correction (MSC), standard normal variate transformation (SNV)), smoothing (median filter, Savitzky-Golay and wavelet) and transformation (first derivative and second derivative) were analyzed. The results showed that in each studied smoothing techniques SNV gave slightly better results than MSC method. Withal between three studied smoothing techniques namely median filter, Savitzky-Golay and wavelet, the median filter introduced better models. The prediction models were developed by principal component analysis (PCA) and partial least square regression (PLS). The obtained result using first derivative was better for TSS, firmness but second derivative was better for pH. The correlation coefficients (r), RMSEC and RPD for the calibration models were calculated: $r=0.95$, $RMSEC=0.22$ °Brix and $RPD=6.7$ °Brix for TSS; $r=0.85$, $RMSEC=0.068$ and $RPD=4.58$ for pH; $r=0.94$, $RMSEC=0.65$ N and $RPD=5.65$ N for firmness. Also these parameters for the validation models was found to be: $r=0.94$, $RMSEP=0.21$ °Brix and $RPD=6.72$ °Brix for TSS; $r=0.86$, $RMSEP=0.069$ and $RPD=4.43$ for pH; $r=0.94$, $RMSEP=0.68$ N and $RPD=5.33$ N for firmness. It was concluded that VIS/NIR spectroscopy and chemometrics combined with different preprocessing techniques could be an accurate and fast method for nondestructive prediction of key pomegranate quality attributes.

Keywords: VIS/NIR spectroscopy, nondestructive monitoring, pomegranate fruit, quality, preprocessing techniques.

Submitted: 25.10.2015

Reviewed: 27.02.2016

Accepted: 20.04.2016

1. INTRODUCTION

The quality evaluation of fruits and vegetables is necessary before any kind of post harvesting processing. The determination of optimum ripening stage is one of the most fundamental aspects that influence on the quality evaluation and depend on a number of internal attributes such as firmness, total soluble solids (TSS) and pH (Moing et al., 1998; Opara, 2000; Nunes et al., 2009; Moghimi et al., 2010). Various methods to measure these attributes are destructive in nature, time consuming and inapplicable to grading and sorting (Salah and Dilshad, 2002; Al-Said et al., 2009; Zarei et

al., 2011; Fawole and Opara, 2013a, 2013b). In addition, most of these methods are based on complex processing of sample (Moghimi et al., 2010). So to ensure the minimum acceptability of the quality to consumers, developing efficient and nondestructive methods to measure internal attributes of fruit is important. In recent years, various studies have been reported the development of nondestructive techniques to assess fruit quality. Among them, visible/near infrared (VIS/NIR) spectroscopy seems particularly promising, since it provides fast and reliable information on internal characteristics of many fruit species. The use of NIR to assess the internal

attributes nondestructively has been demonstrated for many agricultural commodities such as apple (Yande et al., 2007; Fan et al., 2009), apricot (Carlini et al., 2000; Camps and Christen, 2009), avocado (Clark et al., 2003), banana (Tarkosova and Copkova, 2000), cherry (Lu, 2001), citrus (Lee et al., 2004; Zude et al., 2008), grape (Herrera et al., 2003; Cao et al., 2010), jujube (Wang et al., 2011), kiwifruit (Moghimi et al., 2010), mandarin (Gomez et al., 2006; Liu et al., 2010a), mango (Saranwong et al., 2003); peach (Shao et al., 2011), pear (Xu et al., 2012), pepper (Schulz et al., 2005), plum (Golic and Walsh, 2006), pineapple (Chia et al., 2013), watermelon (Long and Walsh, 2006), and tomato (Shao et al., 2007). In order to response to the requirement of many parameters such as speed in analysis and flexibility for adapting to different sample states, NIR spectroscopy instrumentation has developed recently. However, many of these instrumentation provides a large amount of spectral data that contains noise and is influenced by a number of physical, chemical, and structural variables (Nicolai et al., 2007; Moghimi et al., 2010; Chia et al., 2013). So, chemometrics (also called Multivariate statistical techniques) are essential to extract the information about quality attributes which is buried in the NIR spectrum. Different integral parts of chemometrics and preprocessing methods such as variable reduction methods, multivariate calibration methods, and different NIR spectroscopy modes including reflectance, interactance and transmission have been investigated by many researchers in order to construct the accurate and reliable models. The main role of spectral preprocessing technique is to remove any irrelevant information which can not be handled properly by the regression techniques. Numerous preprocessing methods have been developed for this purpose such as averaging, centering, smoothing,

standardization, normalization and transformation methods (Nicolai et al., 2007). Based on the previous studies, it is clear that the use of incorrect preprocessing methods could cause these methods to perform incorrectly (Nicolai et al., 2007; Moghimi et al., 2010; Chia et al., 2013). Thus, the necessity and the effects of different preprocessing methods should be highlighted. Therefore, the objectives of this study are (i) to investigate the feasibility of using VIS/NIR spectroscopy along with chemometrics to predict quality parameters (pH, TSS and firmness) of pomegranate fruit in a nondestructive manner, and (ii) to study the effects of different combination of preprocessing methods.

2. MATERIALS AND METHODS

Fruit samples

In the present study, a total of 100 pomegranate fruits (ASHRAF variety) without any damage were provided from a commercial orchard in Shahidabad Village, Behshahr County, Mazandaran Province, Iran (Figure 1). All samples were individually washed, labeled and stored in standard refrigeration (3 °C). Before starting the tests, the samples were taken out of the refrigerator and placed under room condition (20 °C and 60% relative humidity) for 2 days to have an equalization room temperature. The samples were randomly divided into two subgroups. The first subgroup of 70 samples was used as a training set for developing partial least square model. The remaining subgroup of 30 fruits was used for model validation and to verify the prediction power of the predictive models. Table 1 shows the summary statistics for some physicochemical properties of samples in each subgroup.

VIS/NIR reflectance spectroscopy collection

From each fruit, four spectra (400-1100 nm at

intervals of 1 nm) in reflectance mode were collected at four equidistance positions along the equator using a dual-channel spectrometer AvaSpec-2048TEC equipped with a AvaSoft7 software for Windows, a cooled, 1 nanometer resolution and sensitivity of 2000 count per 1mJ entrance irradiation in a 0/45° configuration (Fig.2). The average of these four measurements was used to represent the spectral profile for each sample. The light source consisted of a tungsten halogen lamp (100W, 12V) which is usable in the visible and infrared region. It was arranged at a distance of about 50 mm from the fruit surface and the angle between the incident light source and the fibre optic (that guide reflectance light to a detector) was set to 45 degrees. A white Teflon material was used as the reference material before every measurement. Dark current was measured automatically prior to each measurement. The integration time was set 50ms.

Measuring of quality parameters

After acquiring the spectra, the firmness measurement of samples was made using an Instron Universal Testing Machine (Model H5KS, Tinius Olsen Company) with a 5 mm cylindrical probe programmed to penetrate 8 mm into test fruits with a speed of 10 mm/s. Duplicate puncture tests were performed on opposite sides of equatorial region of each fruit and average value was reported. Peak force required to puncture fruit skin was taken as fruit firmness. Then the samples were macerated with a commercial juice extractor, filtered and centrifuged afterwards. The total soluble solid content (TSS) and pH of juice were measured thrice using a hand-held refractometer (TYM Model, China) and digital pH meter (3020 Model, GenWay Company) respectively, and the average values were noted. All experiments were performed in same conditions.

Chemometrics

Nowadays many researchers found that associated with chemometrics, NIR spectroscopy becomes a powerful tool for the many applications such as agriculture (Roggo et al., 2004), food (Nicolai et al., 2007; Moghimi et al., 2010; Chia et al., 2013), chemical (Larrechi and Callao, 2003), oil industry (Blanco et al., 2001) and pharmaceutical industry (Roggo et al., 2007). Chemometrics is a discipline using mathematical and statistical methods to relate measurements made on a chemical system or process. In other words, the chemometrics regroups several topics such as design of experiments, information extraction methods (Preprocessing, modelling, classification and test of assumptions) and techniques allowing understanding the chemical mechanisms. A review concerning chemometrics has been written by many researchers (Martens and Næs, 1998; Næs et al., 2004; Nicolai et al., 2007), and many textbooks are available (Otto, 1999; Brereton, 2003; Massart et al., 2003). This paper focused on the commonly used preprocessing methods for the analysis of NIR spectra and the calibration models for quantitative and qualitative analysis.

Spectral preprocessing methods

VIS/NIR instruments generate a large amount of spectral data producing valuable analytical information (Blanco and Villarroya, 2002). However, to obtain reliable, accurate and stable calibration models the raw data acquired from spectrometer need to be pre-processed first to reduce the effect of irrelevant information such as background and noisespectra (Cen and He, 2007). The most widely used preprocessing techniques in NIR spectroscopy (in both reflectance and transmittance mode) can be divided into two categories: columns pretreatments and rows pretreatments (Vigni et al., 2013). The column pretreatments include data centering and scaling. The rows

pretreatments focused on the scattering methods and spectral derivations. These operations are also known as de-noising, smoothing, background and baseline corrections, normalization, alignment (removing horizontal shift), and transformation (Vigni et al., 2013). Firstly in this study, four spectra of every sample were averaged into one spectrum. The averaged value is then converted to absorbance value using $Abs = \log(1/R)$ equation where R is the amount of reflectance, to obtain linear correlation between spectra and sample molecular concentration. Then, several preprocessing methods such as column pretreatment (mean centering) and rows pretreatments (including normalization (multiplicative scatter correction (MSC), standard normal variate transformation (SNV)), smoothing (median filter, Savitzky-Golay and wavelet) and transformation (first derivative and second derivative) were implemented by ParLeS software version 3.1 (Viscarra Rossel, 2008). Centering, which is also referred as mean centering, ensures that all results will be outstanding in terms of variation around the mean (Nicolai et al., 2007). Smoothing is designed to optimize the signal to noise ratio (Nicolai et al., 2007). MSC attempts to remove the effects of scattering by linearizing each spectrum to some 'ideal' spectrum of the sample, which, in practice, corresponds to the average spectrum (Nicolai et al., 2007). Also, first and second derivative preprocessing methods were used to remove background spectra and enhance spectral resolution (Cen and He, 2007).

Calibration and validations

The preprocessed data were used in the statistical analysis together with the quality parameters. As it was stated earlier, from the 100 spectral samples, 70 were allocated in the calibration set and the remaining 30 were allocated in the validation set. To develop a

model between spectral responses of the tested pomegranate fruit and their quality attributes, partial least squares (PLS) regression method was applied to build the model of calibration. The values of one attribute (firmness, TSS and pH) of the calibration set were used to represent the dependent variable (Y). Meanwhile, the reflectance values at studied ranges of wavelengths represented the independent variables or the predictors (X). Because of the vast amount of spectral information provided by NIR spectrophotometers, the large number of samples required to build classification and calibration models, and the high correlation in spectra, there is a need for variable reduction methods that allow the dimensions of the original data to be reduced to a few uncorrelated variables containing only relevant information from the samples. So, before modeling by PLS regression the method of principle component analysis (PCA), a best known and most widely used data reduction method, was employed. The accuracy of the calibration and validation were assessed by correlation coefficient (r), root mean square error of calibration (RMSEC), root mean square error of prediction (RMSEP) and ratio performance deviation (RPD) as follows (Liu et al., 2010b):

$$r = \frac{\sqrt{\sum_{i=1}^n (\hat{y}_i - y_i)^2}}{\sqrt{\sum_{i=1}^n (\hat{y}_i - y_m)^2}} \quad (1)$$

$$RMSEC = \sqrt{\frac{1}{n_c} \sum_{i=1}^{n_c} (\hat{y}_i - y_i)^2} \quad (2)$$

$$RMSEP = \sqrt{\frac{1}{n_p} \sum_{i=1}^{n_p} (\hat{y}_i - y_i)^2} \quad (3)$$

$$RPD = \frac{SD}{RMSEC(P)} \quad (4)$$

Where \hat{y}_i is the predicted value of the i-th observation, y_i is the measured value of the i-th observation, y_m is the mean value of the

calibration or prediction set, n , n_c , and n_p are the total number of observations in the whole data set, the number of observations in calibration and in prediction set, respectively and SD is standard deviation. Generally, a good model should have higher correlation coefficients; lower both RMSEC and RMSEP values, but also a small difference between RMSEC and RMSEP or a RPD value should be more than 5 (Westad et al., 2013).

3. RESULTS AND DISCUSSION

Statistics of the samples

Table 1 shows the summary statistics of some physicochemical properties of samples for both calibration and prediction (validation) data sets. As it can be seen from this table, arils mass and the three main dimensions of arils showed a suitable range. This means that the samples were quite varied in terms of morphology which was the main reason to use normalizing methods (MSC and SNV) and correct themultiplicative and additive effects on the spectra. The range of TSS, pH and firmness were 18.42 to 19.2 °Brix; 3.38 to 3.65 and 38.2

to 42.4 N, respectively.

Vis/NIR spectra of pomegranate fruit

Figure 3 (a) and (b) show the average raw reflectance spectra and absorbance spectrum of Ashraf pomegranate fruit in the wavelength range of 400-1000 nm, respectively. As it was clear in these figures, the spectrum had some absorbance peaks in specific frequencies due to stretching vibration of the overtones of O-H, C-H or N-H functional groups relative to the concentration of some inner compositions with these bands suchas sugars and acids. The absorbance in the range of 400-500 nm was due to the pigments. After 500 nm (in the visible region), the curve had decreasing trend (Figure 3b) and there was a perceptible peak around 750 nm because of the third overtone of O-H and the forth overtone of C-H. Then (in NIR region) the curve had increasing trend and a perceptible peak around 970 nm because of the second overtone of O-H similar to that described by and Gomez et al. (2006) and Cayuela (2008).

Table 1. Statistics of both calibration and prediction data sets for some physicochemical properties of pomegranate fruit samples

Attributes	Calibrationset (70 samples)				Prediction set (30 samples)			
	Max	Min	Mean	SD	Max	Min	Mean	SD
L* (mm)	66.28	62.03	64.02	2.13	66.49	62.72	64.58	2.38
W* (mm)	73.15	67.14	70.15	3.05	72.12	67.36	70.68	2.31
T* (mm)	69.84	67.82	68.12	2.6	69.35	67.75	68.09	2.75
D_g* (mm)	68.25	64.15	66.95	2.13	68.72	65.61	66.54	2.23
Fruit mass (g)	166	153	160	8.12	165	154	160	7.56
Fruit firmness (N)	41.97	38.5	40.3	1.51	41.54	38.5	40.5	1.76
Total soluble solid (°Brix)	19.1	18.49	18.85	0.31	19.2	18.42	18.81	0.33
pH	3.64	3.43	3.51	0.11	3.65	3.42	3.52	0.12

L, length; W, width; T, geometric mean

thickness and D_g, diameter.



Fig. 1: Fruit and arils of pomegranate (cv. 'ASHRAF') cultivar

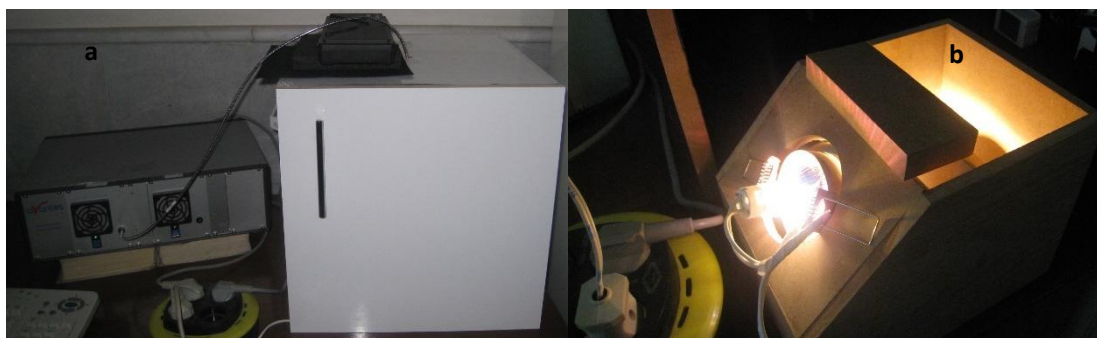


Fig. 2.: a) Setup of Vis/NIR equipment, b) Setup of reflectance mode

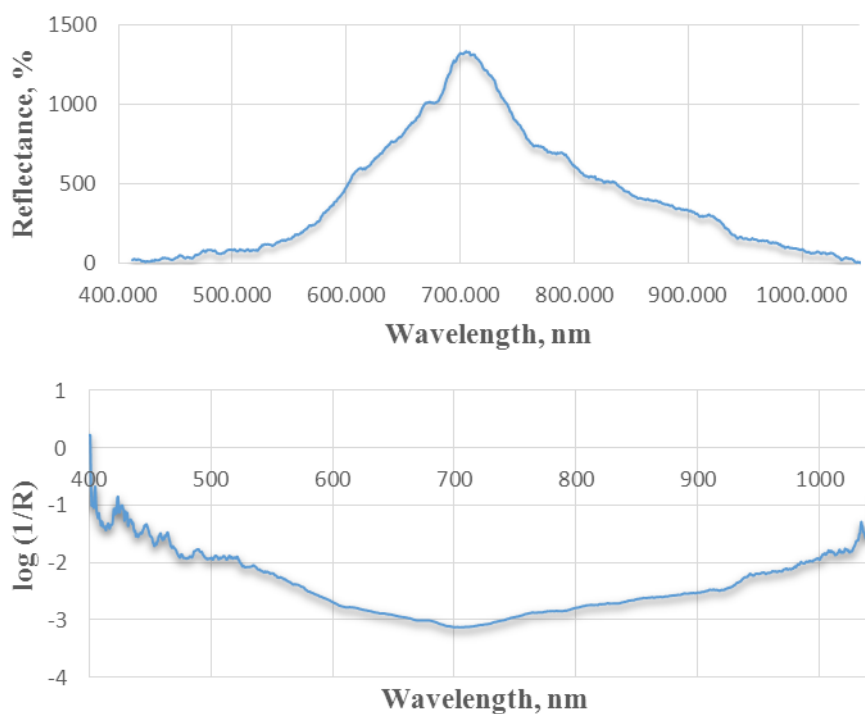


Fig. 3: The average raw reflectance Vis/NIR spectra of Ashraf pomegranate fruit (a), and its absorbance spectrum (b)

Table 2. The results of calibration and prediction of PLS models with preprocessing techniques for studied quality parameters

Attribute	Preprocessing	No. of PLS factor	Calibrationset			Prediction set		
			r	RPD	RMSEC	r	RPD	RMSEP
pH	Originaldata	15	0.68	2.03	0.259	0.71	2.11	0.233
	SNV	12	0.75	3.11	0.087	0.76	3.15	0.085
	Median filter	12	0.73	3.05	0.089	0.75	3.09	0.086
	SNV, Median filter, D ¹	9	0.85	4.58	0.068	0.86	4.43	0.069
	SNV, SavitzkyGolay, D ¹	10	0.81	4.12	0.075	0.81	4.01	0.077
	SNV, Wavelet, D ¹	10	0.82	4.23	0.072	0.81	4.12	0.071
	SNV, Median filter, D ²	8	0.86	5.01	0.062	0.88	5.14	0.062
	SNV, Median filter, D ² and mean center	8	0.84	4.92	0.068	0.84	4.02	0.066
	MSC, Median filter, D ¹	9	0.84	3.42	0.071	0.85	3.51	0.073
	MSC, SavitzkyGolay, D ¹	10	0.81	3.21	0.125	0.82	3.35	0.122
	MSC, Wavelet, D ¹	10	0.79	3.04	0.113	0.78	3.15	0.115
	MSC, Median filter, D ¹ and mean center	8	0.82	3.35	0.098	0.81	3.15	0.082
	MSC, Median filter, D ² and mean center	9	0.81	2.85	0.134	0.82	3.03	0.115
	TSS	Originaldata	15	0.73	2.21	0.76	0.75	2.32
SNV		11	0.79	3.36	0.45	0.81	3.42	0.47
Median filter		11	0.78	3.47	0.49	0.81	3.53	0.49
SNV, Median filter, D ¹		9	0.95	6.7	0.22	0.94	6.72	0.21
SNV, SavitzkyGolay, D ¹		8	0.89	5.06	0.36	0.9	5.02	0.34
SNV, Wavelet, D ¹		8	0.89	5.32	0.33	0.89	5.21	0.32
SNV, Median filter, D ¹ and mean center		8	0.91	5.01	0.25	0.92	5.09	0.24
SNV, Median filter, D ² and mean center		9	0.88	4.46	0.28	0.89	4.52	0.28
MSC, Median filter, D ¹		8	0.91	4.25	0.31	0.92	4.21	0.32
MSC, SavitzkyGolay, D ¹		9	0.88	4.12	0.47	0.89	4.15	0.45
MSC, Wavelet, D ¹		7	0.87	4.08	0.49	0.88	4.14	0.48
MSC, Median filter, D ¹ and mean center		8	0.9	3.96	0.35	0.91	3.92	0.36
MSC, Median filter, D ² and mean center		8	0.86	3.53	0.39	0.88	3.48	0.41
firmness		Originaldata	15	0.69	2.14	0.96	0.68	2.12
	SNV	12	0.78	3.06	0.85	0.76	3.09	0.83

Median filter	11	0.74	3.10	0.87	0.73	3.06	0.86
SNV, Median filter, D ¹	10	0.94	5.65	0.65	0.94	5.33	0.68
SNV, SavitzkyGolay, D ¹	9	0.86	5.01	0.78	0.88	4.98	0.76
SNV, Wavelet, D ¹	9	0.85	4.96	0.76	0.84	4.95	0.75
SNV, Median filter, D ¹ and mean center	8	0.92	5.32	0.68	0.91	5.25	0.69
SNV, Median filter, D ² and mean center	9	0.89	4.98	0.72	0.87	4.95	0.71
MSC, Median filter, D ¹	9	0.92	4.96	0.70	0.93	4.92	0.72
MSC, SavitzkyGolay, D ¹	9	0.84	4.85	0.81	0.82	4.90	0.80
MSC, Wavelet, D ¹	7	0.82	4.91	0.82	0.83	4.90	0.81
MSC, Median filter, D ¹ and mean center	6	0.90	5.01	0.70	0.89	5.03	0.69
MSC, Median filter, D ² and mean center	8	0.87	4.95	0.74	0.85	4.97	0.73

Influences of the different studied preprocessing techniques

As it was stated earlier, numerous calibration models were studied by using different preprocessing techniques on the spectral data. In order to investigate the enhanced ability of models based on studied preprocessing techniques, each calibration model was used to predict TSS, pH and firmness of prediction dataset. As it was reported by many researchers a proper model should have a higher correlation coefficients; lower both RMSEC and RMSEP values and also a RPD value more than 5 (Næs et al., 2004; Nicolai et al., 2007; Moghimi et al., 2010; Westad et al., 2013). The results of the most accurate models of calibration and prediction with several preprocessing methods and their combinations for TSS, pH and firmness are summarized in Table 2.

As it can be seen from this Table, the minimum correlation coefficient was found when any preprocessing techniques was not applied for prediction of either TSS, pH or firmness.

Though, a decrease in RMSEC and RMSEP values and an increase in correlation coefficient and RPD value was observed when preprocessing techniques was applied. Also it was found that combinations of different preprocessing techniques gave better results to select a proper model. So, in each studied smoothing techniques namely median filter, Savitzky-Golay and wavelet, the PLS model with two different normalizing techniques (MSC and SNV) was evaluated and found that SNV gave slightly better results than MSC method. This is in agreement with the results reported by Moghimi et al. (2010) for SSC and pH prediction of kiwifruit with PLS model preprocessed using MSC and SNV. SNV was applied to remove the multiplicative interferences of scatter, particle size, and the change in light path. MSC was used to compensate for additive (baselineshift) and multiplicative effects in the spectra data which are induced by physical effects. However, the advantage of SNV method over MSC is that SNV is applied to an individual spectrum,

whereas MSC uses a reference spectrum such as the mean spectrum of the calibration set (Nicolai et al., 2007). Withal between three studied smoothing techniques namely medianfilter, Savitzky-Golay and wavelet, the medianfilter introduced better models. Also as reported by Moghimi et al. (2010) it is very important to choose the proper median filter rank. In this study the best model was found with a median filter rank of 4. Not only the normalizing method and smoothing techniques but also the different transformation techniques (first derivative and second derivative) influenced the results for either TSS, pH or firmness.

was better for TSS, firmness but second derivative was better for pH. Cen and He (2007) have reported that the peaks and troughs were not very noticeable in the original spectra but became more obvious using first derivative. However, these results are in disagreement with the results reported by Liu et al. (2010b) for SSC prediction of navel orange fruit with PLS model and different preprocessing techniques. However, a comparison is not recommended due to the variety of spectrometers and spectral ranges used in these researches. Also as described by Cayuela (2008), the differences between cultivars and varieties such as skin thickness, texture and

compositi
on,
segment
number
and
seediness
may
influence
spectrosc
opy
measure
ments.

The
obtained
result using
first
derivative

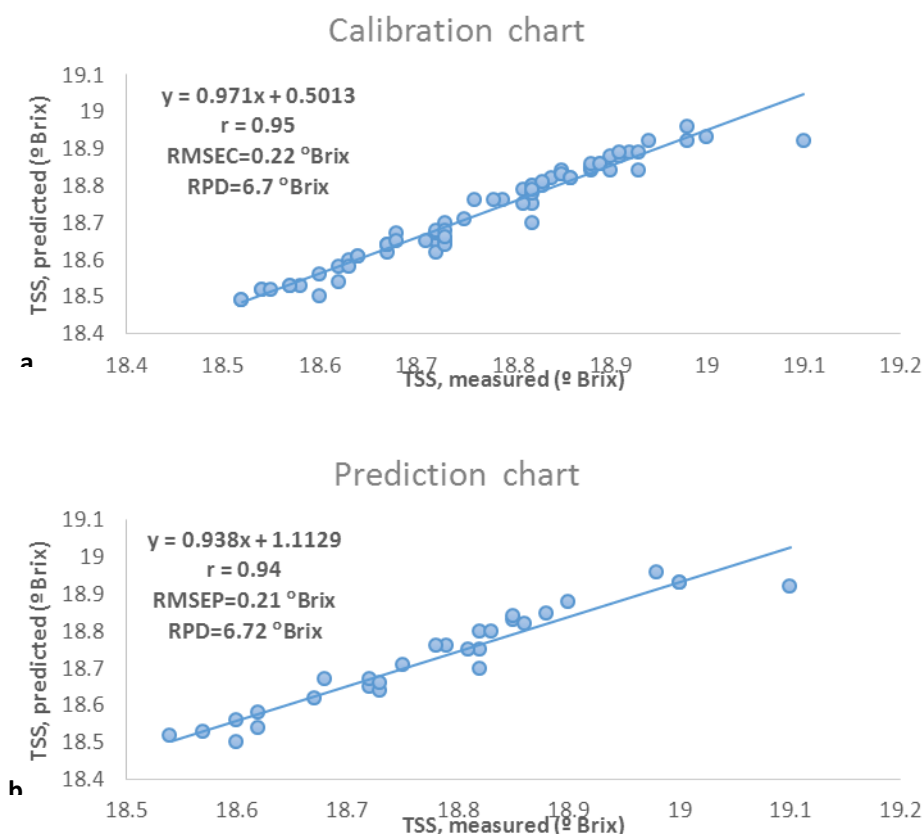


Fig. 4: Scatter plot of measured versus NIRS predicted TSS for the calibration set (a) and validation set (b) after

SNV, median filter and first derivative

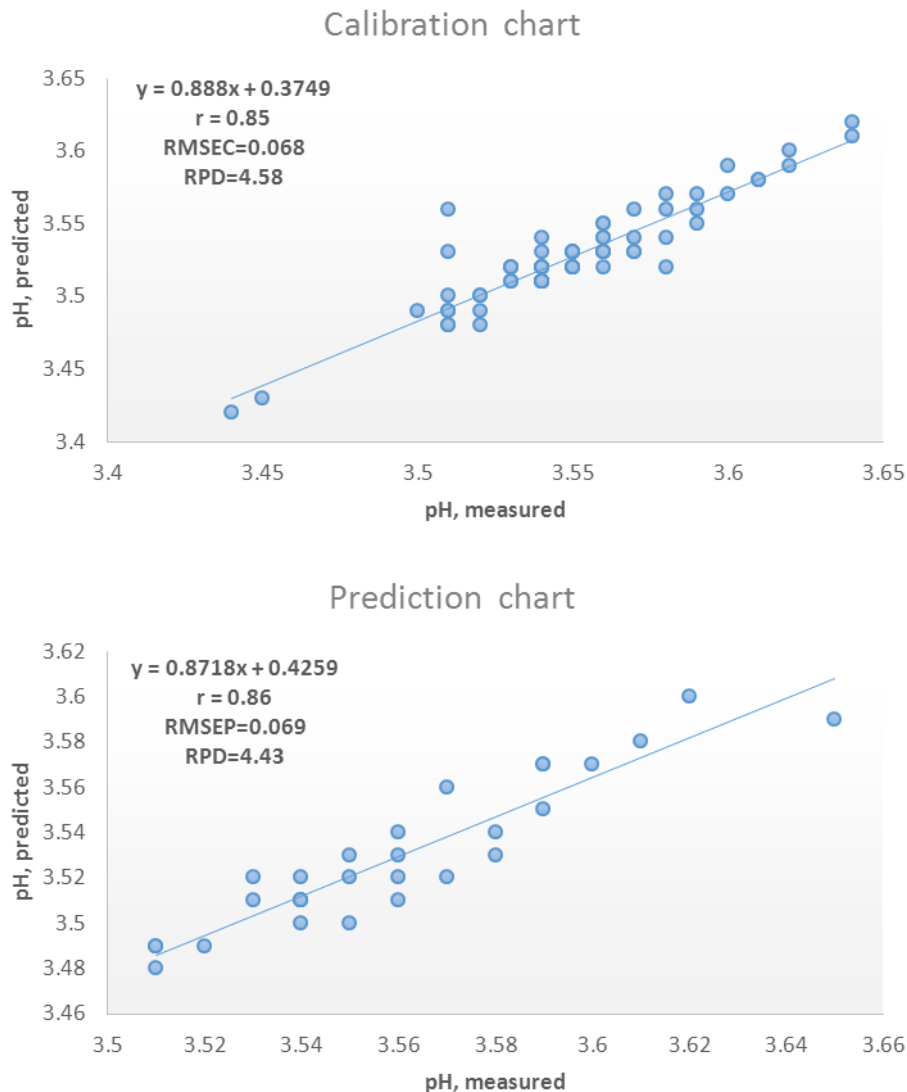


Fig. 5: Scatter plot of measured versus NIRS predicted pH for the calibration set (a) and validation set (b) after SNV, median filter and second derivative

**PLS models for quality parameter rediction
Total soluble solids (TSS)**

As it is clear from Table 2, the PLS models could predict TSS as well and better than the other studied quality parameters. Many researchers also have reported that NIR spectroscopy with PLS models could predict TSS better than other taste characteristics for various vegetables and fruits such as cherry, mandarin, tomato, and orange fruit (Lu, 2001; Gomez et al., 2006; Shao et al., 2007; Jamshidi

et al., 2012). Also, more application of NIR spectroscopy to measure TSS in fruits and vegetables has reported by Nicolai et al. (2007). Withal as it was stated, the PLS model with combination of SNV normalization, median filter smoothing and first derivative for preprocessing can yield better prediction of TSS with $r=0.95$, $RMSEC=0.22$ °Brix and $RPD=6.7$ °Brix. These parameters for the validation models with this combination preprocessing was found to be: $r=0.94$, $RMSEP$

=0.21 °Brix and RPD=6.72 °Brix. This is the first reported prediction of TSS in the literatures for pomegranate fruit, to our knowledge, with the best model displaying correlation coefficient and a root mean square error of prediction (RMSEP). Zhang and McCarthy (2013) have reported an $r=0.41$ and Root Mean Error of Cross-Validation (RMSECV) 0.57 between measured SSC of pomegranate fruit by the reference analytical methods and predicted SSC by NMR from the PLS model. Figure 4 shows the scatter plot of correlation between the measured and predicted values of TSS for the best model.

pH

As it was obvious from Table 2, the normalization, smoothing and transformation methods positively influenced the results of PLS models for pH prediction as SNV was slightly better than MSC when combined with each smoothing and transformation technique. However, the PLS model preprocessed with the combination of SNV, median filter and second derivative with $r=0.85$, RMSEC=0.068 °Brix and RPD=4.58 °Brix for calibration set and $r=0.86$, RMSEP =0.069 °Brix and RPD=4.43 °Brix for prediction set was preferred.

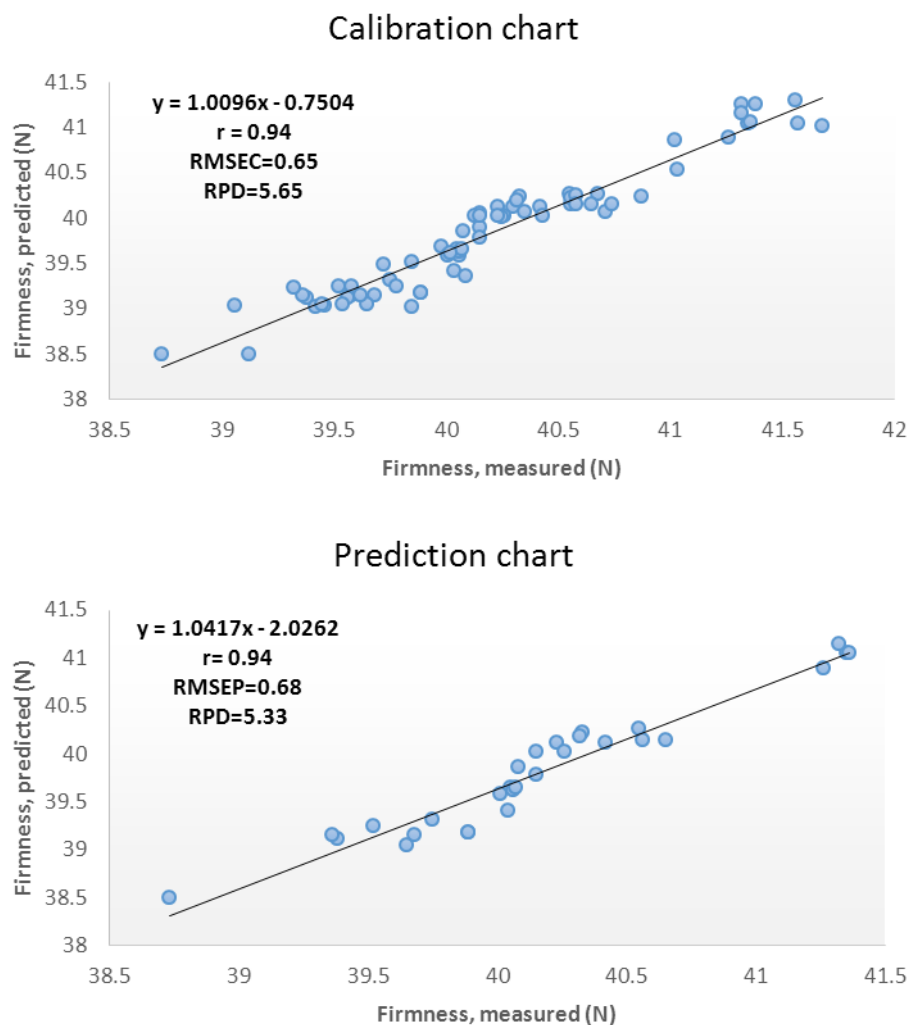


Fig. 6: Scatter plot of measured versus NIRS predicted firmness for the calibration set (a) and validation set (b) after SNV, median

filter and first derivative

Figure 5 shows the scatter plot of correlation between the measured and predicted values of pH for the best model. As it can be found from this figure and Figure 4, the prediction result of pH was not as accurate as the results of TSS prediction. However, the RMSEC and RMSEP of pH prediction in this research was better. As stated earlier, any published results on the multispectral imaging or NIR spectroscopy of pomegranate fruit and its aril are not available. However, Zhang and McCarthy (2013) found $r= 0.77$ and $RMSECV= 0.13$ between measured pH by the reference analytical methods and predicted pH by NMR from the PLS model. They also reported that the RMSECV was very close to RMSEC, which means the loss in the accuracy was very when the calibration models were applied to the test data. In addition they declared that the low value of the error indicated that the PLS model provided fairly accurate prediction of pH.

Firmness

For firmness as two other studied quality properties, SNV was preferable against MSC normalizing method to develop the PLS model. Similar to results performance of preprocessing on PLS model for prediction of TSS, the best model for the prediction of firmness was achieved when SNV, median filter and first derivative were used as pre-processing. The scatter plot of the correlation between measured and predicted values of firmness using the best selected model is shown in Fig. 6. Results indicated that VIS/NIR spectroscopy had the potential to predict firmness directly as accurate as it predicts TSS. The obtained statistical parameters for best model were $r=0.94$, $RMSEC=0.65$ and $RPD=5.65$ for calibration set and $r=0.94$, $RMSEP =0.68$ and $RPD=5.33$ for prediction set. One of important textural property of fruits is firmness is that influences the shelf life and consumer

acceptance. Firmness shows the chlorophyll and water content of fruits. This is the first reported prediction of firmness in the literatures for pomegranate fruit, to our knowledge.

4. CONCLUSIONS

This study demonstrate the feasibility of utilizing VIS/NIR spectroscopy and chemometrics combined with different preprocessing techniques to nondestructively characterize key pomegranate quality attributes such as TSS, pH and firmness. Findings indicated that that VIS/NIR spectroscopy with chemometrics is an appropriate tool for nondestructive prediction of internal quality of pomegranate fruit. The prediction models were developed by principal component analysis (PCA) and partial least square regression (PLS). It can be concluded that different pre-processing techniques had effects on the calibration models. The minimum correlation coefficient was found when any preprocessing techniques was not applied for prediction of either TSS, pH or firmness. Though, a decrease in RMSEC and RMSEP values and an increase in correlation coefficient and RPD value was observed when preprocessing techniques was applied. Also it was found that combinations of different preprocessing techniques gave better results to select a proper model. In each studied smoothing techniques SNV gave slightly better results than MSC method. Withal between three studied smoothing techniques namely median filter, Savitzky-Golay and wavelet, the median filter introduced better models. In this study the best model was found with a median filter rank of 4. Not only the normalizing methods and smoothing techniques but also the different transformation techniques (first

derivative and second derivative) influenced the results for either TSS, pH or firmness. The obtained result using first derivative was better for TSS, firmness but second derivative was better for pH. The correlation coefficient (r), RMSEC and RPD for the calibration models was found to be: $r=0.95$, $RMSEC=0.22$ °Brix and $RPD=6.7$ °Brix for TSS; $r=0.85$, $RMSEC=0.068$ and $RPD=4.58$ for pH; $r=0.94$, $RMSEC=0.65$ N and $RPD=5.65$ N for firmness). Also these parameters for the validation models was found to be: $r=0.94$, $RMSEP=0.21$ °Brix and $RPD=6.72$ °Brix for TSS; $r=0.68$, $RMSEP=0.069$ and $RPD=4.43$ for pH; $r=0.94$, $RMSEP=0.68$ N and $RPD=5.33$ N for firmness.

5. ACKNOWLEDGMENTS

The authors would like to thank the Ferdowsi University of Mashhad for providing the laboratory facilities and financial support through the project No. of 28580.

6. REFERENCES

- [1] Moing, A, Svanella, L, Rolin, D, Gaudillère, M, Gaudillère, J.P and Monet, R. Compositional changes during the fruit development of two peach cultivars differing in juice acidity. *J. American Soc. Hort Sci*, **123**, 1998, 770–775.
- [2] Opara, L.U. 2000. Fruit growth measurement and analysis. *Hort Rev*, **24**, 2000, 373–431.
- [3] Nunes, C, Rato, A.E, Barros, A.S, Saraiva, J.A and Coimbra, M.A. Search for suitable maturation parameters to define the harvest maturity of plums (*Prunus domestica* L.): a case study of candied plums. *Food Chem*, **112**, 2009, 570–574.
- [4] Moghimi, A, Aghkhani, M.H, Sazgarnia, A and Sarmad, M. 2010. Vis/NIR spectroscopy and chemometrics for the prediction of soluble solids content and acidity (pH) of kiwifruit. *Biosyst Eng*, **106**, 2010, 295-302.
- [5] Salah, A.A and Dilshad, A. Changes in physical and chemical properties during pomegranate (*Punica granatum* L.) fruit maturation. *Food Chem*, **76**, 2002, 437–441.
- [6] Al-Said, F.A, Opara, L.U and Al-Yahyai, R.A.. Physico-chemical and textural quality attributes of pomegranate cultivars (*Punica granatum* L.) grown in the Sultanate of Oman. *J. Food Eng.*, **90**, 2009, 129–134.
- [7] Zarei, M, Azizi, M and Bashir-Sadr, Z. Evaluation of physicochemical characteristics of pomegranate (*Punica granatum* L.) fruit during ripening. *Fruits*, **66**, 2011, 121–129.
- [8] Fawole, O.A and Opara, U.L. Changes in physical properties, chemical and elemental composition and antioxidant capacity of pomegranate (cv. ‘Ruby’) fruit at five maturity stages. *Sci. Hort.*, **150**, 2013, 37–46.
- [9] Fawole, O.A and Opara, U.L Fruit growth dynamics, respiration rate and physico-textural properties during pomegranate development and ripening. *Sci. Hort.*, **157**, 2013b, 90–98.
- [10] Yande, L, Yibin, Y, Xiaping, F and Huishan, L. Experiments on predicting sugar content in apples by FT-NIR technique. *J. Food Eng.*, **80**, 2007, 986-989.
- [11] Fan, G, Zha, J, Du, R and Gao, L. Determination of soluble solids and firmness of apples by Vis/NIR transmittance. *J. Food Eng.*, **93**, 2009, 416-420.
- [12] Carlini, P, Massantini, R and Mencarelli, F. Vis-NIR measurement of soluble solids in cherry and apricot by PLS regression and wavelength selection. *J. Agric. Food. Chem.*, **48**, 2000, 5236–5242.
- [13] Camps, C and Christen, D. Non-destructive assessment of apricot fruit quality by portable visible-near infrared. *LWT-Food Sci Technol.*, **42**, 2009, 1125-1131.
- [14] Clark, C.J, McGlone, V.A, Requejo, C, White, A and Woolf, A.B. Dry matter determination in ‘Hass’ avocado by NIR spectroscopy. *Postharvest. Biol. Tech.*, **29**, 2003, 300–307.
- [15] Tarkosova, J and Copikova, J. Determination

- of carbohydrate content in bananas during ripening and storage by near infrared spectroscopy. *J. Near Infrared Spectrosc.*, **8**, 2000, 21–26.
- [16] Lu, R. Predicting firmness and sugar content of sweet cherries using near-infrared diffuse reflectance spectroscopy. *Trans, ASAE.*, **44**, 2001, 1265–1271.
- [17] Lee, K, Kim, G, Kang, S, Son, J, Choi, D and Choi, K. Measurement of sugar content in citrus using near infrared transmittance. *Key Eng Mater.*, **270–273**, 2004, 1014–1019.
- [18] Zude, M, Pflanz, M, Kaprielian, C and Aivazian, B.L. NIRS as a tool for precision horticulture in the citrus industry. *Biosyst Eng.*, **99**, 2008, 455-459.
- [19] Herrera, J, Guesalaga, A and Agosin, E. Shortwave-near infrared spectroscopy for non-destructive determination of maturity of wine grapes. *Meas Sci Technol.*, **14**, 2003, 689–697.
- [20] Cao, F, Wu, D and He, Y. Soluble solids content and pH prediction and varieties discrimination of grapes based on visible-near infrared spectroscopy. *Comput. Electron. Agric.*, **71**, 2010, S15-S18.
- [21] Wang, J, Nakano, K and Ohashi, S. Nondestructive evaluation of jujube quality by visible and near-infrared spectroscopy. *LWT-Food Sci Technol.*, **44**, 2011, 1119-1125.
- [22] Gomez, H.A, He, Y and Pereira, A.G. Non-destructive measurement of acidity, soluble solids and firmness of Satsuma mandarin using Vis/NIR spectroscopy techniques. *J. Food Eng.*, **77**, 2006, 313–319.
- [23] Liu, Y, Sun, X, Zhang, H and Aiguo, O. Nondestructive measurement of internal quality of Nanfeng mandarin fruit by charge coupled device near infrared spectroscopy. *Comput. Electron. Agric.*, **71**, 2010a, S10-S14.
- [24] Saranwong, S, Sornsrivichai, J and Kawano, S. Performance of a portable near infrared instrument for Brix value determination of intact mango fruit. *J. Near Infrared Spectrosc.*, **11**, 2003, 175–181.
- [25] Shao, Y, Bao, Y and He, Y. Visible/near-infrared spectra for linear and nonlinear calibrations: a case to predict soluble solids contents and pH value in peach. *Food Bioprocess. Technol.*, **4**, 2011, 1376-1383.
- [26] Xu, H, Qi, B, Sun, T, Fu, X and Ying, Y. Variable selection in visible and near-infrared spectra: application to on-line determination of sugar content in pears. *J. Food Eng.*, **109**, 2012, 142-147.
- [27] Schulz, H, Baranska, M, Quilitzsch, Schutze, W and Losing, G. Characterization of peppercorn, pepper oil, and pepper oleoresin by vibrational spectroscopy methods. *J. Agric. Food Chem.*, **53**, 2005, 3358–3363.
- [28] Golic, M and Walsh, K.B. Robustness of calibration models based on near infrared spectroscopy for the in-line grading of stone fruit for total soluble solids content. *Anal Chim Acta.*, **555**, 2006, 286–291.
- [29] Chia, K.S, Abdul Rahim, H and Abdul Rahim, R. Evaluation of common pre-processing approaches for visible (VIS) and shortwave near infrared (SWNIR) spectroscopy in soluble solids content (SSC) assessment. *Biosyst Eng.*, **115**, 2013, 82-88.
- [30] Long, R.L and Walsh, K.B. Limitations to the measurement of intact melon total soluble solids using near infrared spectroscopy. *Australian J. Agri Res.*, **57**, 2006, 403–410.
- [31] Shao, Y, He, Y, Gomez, A.H, Pereir, A.G, Qiu, Z and Zhag, Y. Visible/near infrared spectrometric technique for nondestructive assessment of tomato ‘Heatwave’ (*Lycopersicum esculentum*) quality characteristics. *J. Food Eng.*, **81**, 2007, 672-678.
- [32] Nicolai, B.M, Beullens, K, Bobelyn, E., Peirs, A, Saeys, W, Theron, K.I and Lammertyn, J. Nondestructive measurement of fruit and vegetable quality by means of NIR spectroscopy: a review. *Postharvest. Biol. Tech.*, **46**, 2007, 99-118.

- [33] Roggo, Y, Chalus, P.L, Maurer, L, Lema-Martinez, C, Edmond, A and Jent, N. A review of near infrared spectroscopy and chemometrics in pharmaceutical technologies. *J. Pharm. Biomed Anal.*, **44**, 2007, 683–700.
- [34] Roggo, Y, Duponchel, L and Huvenne, J.P. Quality evaluation of sugar beet (*Beta vulgaris*) by near-infrared spectroscopy. *J. Agric.Food Chem.*, **52**, 2004, 1051–1061.
- [35] Larrechi, M.S and Callao, M.P. Strategy for introducing NIR spectroscopy and multivariate calibration techniques in industry. *Trend Anal Chem.*, **22**, 2003, 634–640.
- [36] Blanco, M, Maspocho, S, Villarroya, I, Peralta, X, Gonzalez, J.M and Torres. J. 2001. Geographical origin classification of petroleum crudes from near-infrared spectra of bitumens. *Appl Spectrosc.*, **55**, 834–839
- [37] Martens, H and Næs, T. Multivariate Calibration. John Wiley & Sons Ltd., Chichester, Great Britain, 1998.
- [38] Næs, T, Isaksson, T, Fearn, T and Davies, T. A User-friendly Guide to Multivariate Calibration and Classification. NIR publications, Charlton, Chichester, UK, 2004.
- [39] Otto, M. Chemometrics Statistics and Computer Application in Analytical Chemistry. Wiley-VCH, Weinheim, 1999.
- [40] Brereton, R.G. Chemometrics Data Analysis for the Laboratory and Chemical Plant, John Wiley & Sons, Chichester, 2003.
- [41] Massart, D.L, Vandeginste, B.G.M, Deming, S.M, Michotte, Y and Kaufmann, L. Chemometrics: a textbook. Elsevier, Amsterdam, 2003.
- [42] Blanco, M and Villarroya, I. NIR spectroscopy: a rapid response analytical tool. *Trend Anal Chem.*, **21**, 2002, 240-250.
- [43] Cen, H and He, Y. Theory and application of near infrared reflectance spectroscopy in determination of food quality. *Trend Anal Chem.*, **18**, 2007, 72-83.
- [44] Vigni, M.L, Durante, C and Cocchi, M. ‘Exploratory Data Analysis’, in Marini, F (ed.), Chemometrics in Food Chemistry, ELSEVIER, Amsterdam, Netherlands, 2013, pp. 55-126.
- [45] Viscarra Rossel, R.A. ParLeS: software for chemometric analysis of spectroscopic data. *Chemometrics Intell Lab Syst.*, **90**, 2008, 72-83.
- [46] Liu, Y, Sun, X and Ouyang, A. Nondestructive measurement of soluble solids content of navel orange fruit by visible-NIR spectrometric technique with PLS Rand PCA-BPNN. *LWT-Food Sci Technol.*, **43**, 2010b, 602-607.
- [47] Westad, F, Bevilacqua, M and Marini, F. ‘Regression’, in Marini, F (ed.), Chemometrics in Food Chemistry, ELSEVIER, Amsterdam, Netherlands, 2013, pp. 127-169.
- [48] Cayuela, J.A. VIS/NIR soluble solids prediction in intact oranges (*Citrus sinensis*L.) cv. Valencia Late by reflectance. *Postharvest. Biol. Tech.*, **47**, 2008, 75-80.
- [49] Jamshidi, B, Minaei, S, Mohajerani, E and Ghassemian, H. Reflectance Vis/NIR spectroscopy for nondestructive taste characterization of Valencia oranges. *Comput. Electron. Agric.*, **85**, 2012, 64-69.
- [50] Zhang, L and McCarthy, M.J. Assessment of pomegranate postharvest quality using nuclear magnetic resonance. *Postharvest. Biol. Tech.*, **77**, 2013, 59-66.